

ECI750 Multimedia Data Compression

### Lectures 13-14 Mathematical Preliminaries of Lossy Compression

Dr. Shadan Khattak

Department of Electrical Engineering

**COMSATS** Institute of Information Technology - Abbottabad



Dr. Shadan Khattak Department of Electrical Engineering CIIT - Abbottabad

### **Overview**

- In lossless compression schemes, rate is the general concern.
- In lossy compression schemes, the loss of information (distortion) associated with such schemes is also a concern.
- We will look at different ways of assessing the impact of the loss of information.
- We will also look at the rate-distortion theory.
- We will also look at some of the models used in the development of lossy compression schemes.



#### Introduction

- With lossless compression, only a limited amount of compression can be achieved.
- There is a floor, defined by the entropy of the source, below which we cannot drive the size of the compressed sequence.
- For lossless compression, entropy, like the speed of light, is a fundamental limit.
- So why did we study lossless compression, at all, then?
  - The storage or transmission resources available to us might be sufficient to handle our data requirements.
  - The consequences of a loss of information may be much more expensive than the cost of additional storage/transmission resources.
    - E.g., archiving of bank records: an error in the records could turn out to be much more expensive than the cost of buying additional storage.



#### Introduction (2)

- We can improve the amount of compression by accepting a certain degree of loss during compression.
- Performance measures are necessary to determine the efficiency of a lossy compression scheme.
- Using only the rate as a measure of performance is not sufficient anymore. Otherwise, the best lossy compression scheme would be to throw away all the data.
- Hence, an additional performance measure is needed such as a measure of the difference between the original and the reconstructed data (distortion).



#### Introduction (3)

- When we transmit no information, the rate is zero and the distortion is maximum.
- When we transmit all information, the distortion is zero and the rate is maximum.
- The study of the situations between these two extremes is called the *rate-distortion theory*.



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#### **Distortion** Criteria

- The difference between x and x' is the distortion.
- Distortion can be judged by humans or machines
- The former class of methods is called subjective criteria.
- The latter class of methods is called objective criteria.



### **Distortion Criteria** (2)

- Subjective Criteria
  - Mean Opinion Score

#### Distortion Criteria (2)

- Objective Criteria
  - Squared error measure

$$d(x,y) = (x-y)^2$$

d(x, y) = |x - y|

- Absolute difference measure
- Mean Squared Error (MSE)

• Mean Absolute Differences (MAD)  

$$\sigma^{2} = \frac{1}{N} \sum_{n=1}^{N} (x_{n} - y_{n})^{2}$$

$$d = \frac{1}{N} \sum_{n=1}^{N} |x_n - y_n|$$

• Max Error Measure

 $d = \max_n |x_n - y_n|$ 

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### **Distortion Criteria** (3)

- Objective Criteria (2)
  - Relative Error Measures
    - SNR

$$SNR = \frac{\sigma_x^2}{\sigma_d^2}$$
$$SNR(dB) = 10\log_{10}\frac{\sigma_x^2}{\sigma_d^2}$$
$$PSNR(dB) = 10\log_{10}\frac{x_{peak}^2}{\sigma_d^2}$$

$$PSNR(dB) = 10\log_{10}\frac{x_{peak}}{\sigma_d^2}$$

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#### Human Visual System (HVS)

- The Human Eye
  - Globe-shaped with a lens in the front that focuses objects onto the retina in the back of the eye
  - Retina contains two types of receptors
    - Rods:
      - more sensitive to light than cones
      - in low-light most of our vision is due to rods
    - Cones:
      - Three types, each is most sensitive at different wavelengths of the visible spectrum.
      - The peak sensitivities of the cones are in the red, green, and blue regions of the visible spectrum.
      - Concentrated in a very small area of retina (fovea).





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### Human Visual System (HVS) (2)

- Rods are more in number, than the cones
- Cones are more closely packed in the fovea and provide better resolution.
- The muscles of the eye move the eyeball, positioning the image of the object on the fovea.
  - This becomes a drawback in low-light.
  - One way to improve what you see in low-light is to focus on one side of the object. This way, the object is imaged on the rods, which are more sensitive to light.



### Human Visual System (HVS) (3)

- At a given instant, we cannot perceive the entire range of brightness.
- The eye adapts to an average brightness level.
- Just Noticeable Difference (JND)
  - If the background intensity is I, the centre intensity is  $I + \Delta I$ , JND is the minimal  $\Delta I$  which makes the central object visible.



#### contrast sensitivity test



#### Human Visual System (HVS) (4)



- The eye acts as a spatial low-pass filter.
- We can model the eye as a receptor whose output goes to a logarithmic nonlinearity.
- The mind does not perceive everything that the eye sees!
- We can use this knowledge to design compression systems such that the distortion introduced by our lossy compression scheme is not noticeable.



#### **Human Auditory Perception**

- The Human Ear
  - Divided into three parts:
    - The outer ear:
      - the structure that directs that sound waves, or pressure waves, to the tympanic membrane, or eardrum.
      - This membrane separates the outer ear from the middle ear.
    - The middle ear
      - An air-filled cavity containing three small bones that provide coupling between the tympanic membrane and the oval window, which leads into the inner ear.
      - The tympanic membrane and the bones convert the pressure waves in the air to acoustical vibrations.
    - The inner ear
      - Contains, among other things, a snail-shaped passage called the cochlea that contains the transducers that convert the acoustical vibrations to nerve impulses.



#### Human Auditory Perception

- The Human Ear (2)
  - Can hear sounds in the frequency range 20 Hz to 20 kHz, a 1000:1 range of frequencies.
    - 25 overlapping critical bands
  - Bad news!
    - This range decreases with old age
    - Older people are usually unable to hear the higher frequencies.



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#### **Rate-Distortion Theory**

- Concerned with the trade-offs between distortion and rate in lossy compression schemes.
- Rate is defined as the average number of bits used to represent each sample value.
- One way of representing the trade-offs is via a rate distortion function R(D).
- R(D) specifies the lowest rate at which the output of a source can be encoded while keeping the distortion less than or equal to D.  $D = E[d(X,Y)] = \sum_{i=0}^{N-1} \sum_{j=0}^{M-1} d(x_i, y_j) P(x_i, y_j)$



### **Rate-Distortion Theory (2)**

• The minimum rate for a given distortion is given by  $R(D) = \min_{\{P(y_j|x_i)\} \in \Gamma} I(X;Y)$ 

Where  $\Gamma = \{\{P(y_j|x_i)\} \text{ such that } D(\{P(y_j|x_i)\}) \leq D^*\}$  is determined by the compression scheme

•  $H(Y|X) = 0 \rightarrow I(X;Y) = H(Y)$ 

• 
$$H(Y|X) = H(Y) \rightarrow I(X;Y) = 0$$



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### **Models**

- If the sources can be modelled accurately, we would be able to derive more accurate RD relationships for coding decisions
- Popular models
  - Probability models
  - Linear system models



### Models

- Probability models
  - Uniform distribution
  - Gaussian distribution
  - Laplacian distribution
  - Gamma distribution





□ Autoregressive Moving Average Model: ARMA(N, M)

$$x_n = \sum_{i=1}^N a_i x_{n-i} + \sum_{j=1}^M b_j \mathcal{E}_{n-j} + \mathcal{E}_n$$

□ Autoregressive Model: AR(*N*)

$$x_n = \sum_{i=1}^N a_i x_{n-i} + \mathcal{E}_n.$$

• AR(N) is a Markov Model of order N.

 $\Box$  Examples of AR(1) sources:



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#### Auto Correlation Function

The autocorrelation function for the AR(N) process can be obtained as follows:

$$R_{xx}(k) = E[x_n x_{n-k}] = E\left[\left(\sum_{i=1}^{N} a_i x_{n-i} + \mathcal{E}_n\right) x_{n-k}\right]$$
$$= E\left[\sum_{i=1}^{N} a_i x_{n-i} x_{n-k}\right] + E[\mathcal{E}_n x_{n-k}] = \begin{cases}\sum_{i=1}^{N} a_i R_{xx}(k-i), & k > 0\\ \sum_{i=1}^{N} a_i R_{xx}(i) + \sigma_{\mathcal{E}}^2, & k = 0\end{cases}$$

- Autocorrelation function of a process tells us the sample-to-sample behavior of a sequence
  - Slowly decay w.r.t.  $k \rightarrow$  high sample-to-sample correlation
  - Fast decay w.r.t.  $k \rightarrow low$  sample-to-sample correlation
  - No sample-to-sample correlation  $\rightarrow$  zero (except when k = 0).



